

United States Senate  
**Financial Disclosures**  
**Candidate Report**

**Dr. Mehmet C Oz**

State of Candidacy: PA | Candidacy Commenced: 11/30/2021

**Filed 04/06/2022 @ 9:43 PM**

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I omitted assets because they meet the three-part test for exemption.

**Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria**

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?	Comments
1	03/03/2020	Speech	\$125,000.00	American Pistachio Growers Association Fresno, CA	Self	Filer received payment through Oz Works LLC.
2	04/03/2020	Speech	\$25,000.00	GOPAC Arlington, VA	A Charity	n/a
3	04/24/2020	Appearance	\$357.00	SPE Inc. On Behalf of CPT Hold New York, NY	Spouse	Filer received payment through Oz Works LLC.
4	07/23/2020	Appearance	\$437.85	KWP STUDIOS INC New York, NY	Self	Filer received payment through Oz Works LLC.
5	08/04/2020	Speech	\$25,000.00	GOPAC Arlington, VA	A Charity	n/a

6	08/28/2020	Appearance	\$500.40	KING WORLD PRODUCTIONS INC New York, NY	Self received payment?	Filer received payment through Oz Works LLC.
#	Date	Activity	Amount	Who Paid?	Who received payment?	Comments

7	10/13/2020	Speech	\$120,000.00	Baylor Scott & White Dallas Foundation Dallas, TX	Self	Filer received payment through Oz Works LLC.
8	10/13/2020	Speech	\$5,000.00	Network of Employers for Traffic Safety Vienna, VA	A Charity	n/a
9	01/11/2021	Appearance	\$271.70	Warner Bros. Pictures Inc. Burbank, CA	Self	Filer received payment through Oz Works LLC.
10	03/22/2021	Appearance	\$268,701.00	Jeopardy Quadra Productions Culver City, CA	A Charity	Appearance from 3/22/21 through 4/2/21 (Guest Host)
11	06/17/2021	Appearance	\$448.70	KING WORLD PRODUCTIONS INC New York, New York	Self	Filer received payment through Oz Works LLC.
12	09/14/2021	Appearance	\$540.00	SPE Inc. On Behalf of CPT Hold New York, NY	Spouse	Filer received payment through Oz Works LLC.
13	12/31/2021	Appearance	\$23,312.10	Cameo Chicago, IL	A Charity	Represents total payments to HealthCorps for multiple personalized video clips by filer over the reporting period.

## Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Royalties	Columbia University	\$332,669.76	Royalties derived from Patent License Agreement

#	Who Was Paid	Type	Who Paid	Amount Paid	MitraClip Comments
2	Self	Salary	Zoco Productions, LLC Wilmington, DE	\$2,000,000.00	The Dr. Oz Show Host salary

3	Self	Salary	Zoco Productions, LLC Wilmington, DE	\$193,788.84	Supervising Producer of Dr. Oz Show salary
4	Self	Salary	Oz Works, LLC Cliffside Park, NJ	\$350,000.00	Consultant salary
5	Spouse	Salary	Oz Works, LLC Cliffside Park, NJ	> \$1,000	Consultant salary
6	Self	Other (Residuals)	GEP Talent Burbank, CA	\$14,985.26	Residuals for multiple appearances by filer and spouse paid by various production companies (as disclosed on part 1) thru GEP to Oz Works LLC.
7	Self	Royalties	Wink Books Tiburon, CA	\$1,146.18	n/a
8	Spouse	Other (Residuals)	GEP Talent Burbank, CA	> \$1,000	Payments for appearances by filer's spouse paid to Oz Works LLC.
9	Self	Member Draw	Oz Media, LLC Wilmington, DE	\$7,077,736.95	Filer's share of income derived from ownership interest in Oz Media LLC through Oz Property Holdings.
10	Spouse	Member Draw	Oz Media, LLC Wilmington, DE	> \$1,000	Spouse's share of income derived from ownership interest in Oz Media LLC through Oz Property Holdings.

### Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

Asset	Asset Type	Owner	Value	Income Type	Income
1 <b>JP Morgan Chase</b> (New York, NY) Type: Savings,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)

2	<b>JP Morgan Chase</b> Asset (New York, NY) Type: Checking,	Bank Deposit Asset Type	Joint Owner	\$500,001 - \$1,000,000	Interest, Income Type	None (or less than \$201) Income
3	<b>Columbia Voluntary Retirement Savings Plan FBO Mehmet Oz</b>	Brokerage/Managed Account	Joint			
3.1	<b>VIVIX - Vanguard Index Trust Vanguard Value Index Fund I</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.2	<b>VDIGX - Vanguard Specialized Portfolios Vanguard Divide</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
4	<b>Columbia Retirement Plan for Officers FBO Mehmet Oz</b>	Brokerage/Managed Account	Self			
4.1	<b>VIVIX - Vanguard Index Trust Vanguard Value Index Fund I</b>	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
4.2	<b>VDIGX - Vanguard Specialized Portfolios Vanguard Divide</b>	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None, Excepted Investment Fund,	None (or less than \$201)
5	<b>Charles Schwab Brokerage Account</b>	Brokerage/Managed Account	Joint			
5.1	<b>GOOGL - Alphabet Inc. - Class A Common Stock</b>	Corporate Securities Stock	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
5.2	<b>GOOG - Alphabet Inc. - Class C Capital Stock</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
5.3	<b>AMZN - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
5.4	<b>SOXX - iShares PHLX SOX Semiconductor Sector Index Fund</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
5.5	<b>MSFT - Microsoft Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$2,501 - \$5,000
5.6	<b>NVDA - NVIDIA Corporation</b>	Corporate Securities	Joint	\$500,001	Dividends	\$1,001 - \$2,500

Asset Type	Owner	Income Type	Income
Bank Deposit	Joint	Dividends,	None (or less than \$201)

**Charles Schwab**  
(San Francisco, CA)  
Type: Brokerage Sweep Account,

Asset Type	Owner	Income Type	Income
Brokerage/Managed Account	Joint	Dividends,	None (or less than \$201)
Mutual Funds Mutual Fund	Joint	Dividends,	\$5,001 - \$15,000
Mutual Funds Mutual Fund	Joint	Dividends,	\$5,001 - \$15,000
Mutual Funds Mutual Fund	Joint	Dividends,	\$5,001 - \$15,000
Bank Deposit	Joint	Interest,	None (or less than \$201)

**Charles Schwab**  
(San Francisco, CA)  
Type: Brokerage Sweep Account,

**Residential Real Estate**  
Description: Five Parcels/2 Homes (Sarıyer, Turkey) Filer comment: Filer's share of jointly owned real estate based on tax assessment valuation and subject to pending litigation to resolve trust and estate dispute.

Asset Type	Owner	Income Type	Income
Real Estate Residential	Self	Rent/Royalties,	\$5,001 - \$15,000
Real Estate Residential	Self	None,	None (or less than \$201)

**Investment Property**  
Description: Residential Real Estate (Konya, Turkey) Filer comment: No tax assessment valuation due to free lease to Ministry of Education for student dorm & subject to pending trust and estate litigation.

Asset Type	Owner	Income Type	Income
Real Estate Residential	Self	None,	None (or less than \$201)

**Investment Property**  
Description: Parcel (Datca, Turkey) Filer comment: Filer's share of jointly owned real estate based on tax assessment

valuation and subject to pending litigation to resolve trust and estate dispute.

	Asset Type	Owner	Value	Income Type	Income
10	<b>Residential Real Estate</b> <i>Description:</i> Residential Real Estate (Cliffside Park, NJ)	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
11	<b>Residential Real Estate</b> <i>Description:</i> Residential Real Estate (Cliffside Park, NJ)	Spouse	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
12	<b>Residential Property</b> <i>Description:</i> House and acreage (Huntingdon Valley, PA)	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
13	<b>Louwana Trust</b>	Joint			
13.1	<b>Louwana</b> <i>Description:</i> Rental Property (Palm Beach, FL)	Joint	\$5,000,001 - \$25,000,000	Rent/Royalties,	\$1,000,001 - \$5,000,000
13.2	<b>Chase</b> (New York, NY) <i>Type:</i> Checking,	Joint	\$500,001 - \$1,000,000	Interest,	None (or less than \$201)
13.3	<b>Chase</b> (Palm Beach, FL) <i>Type:</i> Checking,	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14	<b>Emerald Oaks, LLC</b> <i>Company:</i> Emerald Oaks, LLC (Okeechobee, FL) <i>Description:</i> Holding Company for Real Estate	Joint			
14.1	<b>Emerald Oaks</b> <i>Description:</i> Cattle Farm (Okeechobee, FL) <i>Filer comment:</i> Valuation based on date of purchase 12/21	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
14.2	<b>Livestock</b> <i>Description:</i> Cattle	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
14.3	<b>Chase</b>	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)

(New York, NY)

Asset Checking,

\$100,000

Income

Income Type

Value

Owner

Asset Type

15

**Oz Family 2014 Insurance Trust**

Spouse

Trust  
General Trust

15.1

**Life Insurance Policy**

Provider: Penn Mutual

Spouse

Life Insurance  
Whole

None,

None (or less than \$201)

\$5,000,001 -  
\$25,000,000

15.2

**Life Insurance Policy**

Provider: Minnesota Life Insurance Company

Spouse

Life Insurance  
Whole

None,

None (or less than \$201)

\$5,000,001 -  
\$25,000,000

15.3

**Life Insurance Policy**

Provider: Prudential Life Insurance

Spouse

Life Insurance  
Whole

None,

None (or less than \$201)

\$5,000,001 -  
\$25,000,000

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**CUE BALL CAPITAL, LP**

Description: Pooled Investment Fund/Venture Capital Fund (Boston, MA)

Self

Investment Fund  
Private Equity  
FundExcepted  
Investment  
Fund, Other,  
(Partnership  
income)None (or less than \$201)  
Other -\$25,410.00\$1,000,001 -  
\$5,000,000

17

**Asplundh Tree Expert Holding Co**

Company: Asplundh Tree Expert Holding Co (Willow Grove, PA) Description: Tree Trimming Business

Joint

Corporate Securities  
Non-Public Stock

Dividends,

\$1,000,001 - \$5,000,000

\$5,000,001 -  
\$25,000,000

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**Asplundh Tree Expert Holding Co**

Company: Asplundh Tree Expert Holding Co (Willow Grove, PA) Description: Tree Trimming Business

Spouse

Corporate Securities  
Non-Public Stock

Dividends,

\$1,000,001 - \$5,000,000

\$5,000,001 -  
\$25,000,000

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**Asplundh Tree Expert Holding Co**

Company: Asplundh Tree Expert Holding Co (Willow Grove, PA) Description: Tree Trimming Business

Self

Corporate Securities  
Non-Public Stock

Dividends,

\$15,001 - \$50,000

\$50,001 -  
\$100,000

Asset	Asset Type	Owner	Value	Income Type	Income
20	<p><b>Radius Venture Partners III, LLC</b>  Company: Radius Venture Partners III, LLC (New York, NY)  Description: Venture Capital Fund  Filer comment: Alternative disclosure requested as Fund states that underlying assets are confidential. Filer spouse have no authority to control investment.</p>	Self	\$15,001 - \$50,000	Other, (Partnership Income)	
21	<p><b>Wawa, Inc.</b>  Company: Wawa, Inc. (Wawa, PA)  Description: Gas Station/Convenience Store Chain</p>	Joint	\$5,000,001 - \$25,000,000	Dividends,	\$1,000,001 - \$5,000,000
22	<p><b>Wawa, Inc.</b>  Company: Wawa, Inc. (Wawa, PA)  Description: Gas Station/Convenience Store Chain</p>	Spouse	\$1,000,001 - \$5,000,000	Dividends,	\$100,001 - \$1,000,000
23	<p><b>Yet2ventures Fund II GP, LP</b>  Company: Yet2ventures Fund II GP, LP (Wilmington, DE)  Description: Venture Capital Fund</p>	Spouse			
23.1	<p><b>yet2Ventures Fund II, LP</b>  Company: yet2Ventures Fund II, LP (Wilmington, DE)  Description: Pooled Investment Fund</p>	Spouse			
23.1.1	<p><b>Non Public Stock</b>  Company: Ecrio Inc. (Cupertino, CA)  Description: communications software company</p>	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
24	<p><b>Zoco Holdings GP, LLC</b>  Company: Zoco Holdings GP,</p>	Joint			



	LLC (Dover, Description: Investment Holding Company	Company (LLC) Asset Type	Owner	Value	Income Type	Income
24.1	<b>Zoco Holdings LP</b> Company: Zoco Holdings LP (Dover, DE) Description: investment holding company Filer comment: Asset numbering below starts at 24.1.6 due to software issue.	Business Entity Limited Partnership (LP)	Joint			
24.1.6	<b>TQ Ventures II, LP</b> Company: TQ Ventures II, LP (Dover, Delaware) Description: Venture Capital Fund Filer comment: Alternative Disclosure requested as Fund states that underlying assets are confidential. Filer spouse have no authority to control investment.	Business Entity Limited Partnership (LP)	Joint	\$1,000,001 - \$5,000,000	None,	None
24.1.7	<b>NuMilk</b> Company: NuMilk (Wilmington, Delaware) Description: Beverage Company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
24.1.8	<b>Concensus Partners, LLC</b> Company: Concensus Partners, LLC (Wilmington, Delaware) Description: Holding Company	Business Entity Limited Liability Company (LLC)	Joint			
24.1.8.1	<b>Intrinsic Brands Inc.</b> Company: Intrinsic Brands Inc. (Wilmington, Delaware) Description: Health & Wellness Ecommerce Brands	Corporate Securities Non-Public Stock	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
24.1.9	<b>Trademarks &amp; Certain IP Name and Likeness</b> Description: Trademarks & Certain IP Name and Likeness	Intellectual Property Copyrights	Joint	Unascertainable	None,	None (or less than \$201)

24.1.10

**License Agreement**Company: CVB, Inc. d/b/a  
Malouf (Logan, Utah)Accounts Receivable  
BusinessJoint  
OwnerUnascertainable  
ValueCapital Gains,  
Income Type\$1,000,001 - \$5,000,000  
Income

25	<b>Rede4-Nanopack Investors, LLC</b> Company: Rede4-Nanopack Investors, LLC (Wilmington, DE) Description: Holds investment in material science container and packaging business	Business Entity Limited Liability Company (LLC)	Self				
25.1	<b>Non Public Stock</b> Company: NanoPack Inc. (Wayne, PA) Description: Material science company	Corporate Securities Non-Public Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	
26	<b>Utah System of Higher Education</b> Institution: Utah System of Higher Education	Education Savings Plans 529 College Savings Plan	Self				
26.1	<b>UTVLX - My529 Institutional Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)	
26.2	<b>UTFIX - My529 Fdic-Insured Portfolio Investment Option</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)	
26.3	<b>UTVMX - My529 Mid Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)	
26.4	<b>UTVGX - My529 Growth Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)	
26.5	<b>UTVBX - My529 Total Bond Market Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)	
26.6	<b>UTVSX - My529 Small Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000	

26.7	<b>My529 Stable Value UTPSVX</b>	Mutual Funds Asset Type Mutual Fund	Self Owner	\$1,001 - \$15,000	Excepted Income Type Investment Fund,	None (or less than \$201)
26.8	<b>UTVDX - My529 Developed Markets Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
26.9	<b>UTVEX - My529 Emerging Markets Stock Index Investment Op</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
26.10	<b>UTVAX - My529 Short-Term Investment-Grade Investment Opt</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
26.11	<b>UTVWX - My529 International Growth Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27	<b>Utah System of Higher Education</b> <i>Institution: Utah System of Higher Education</i>	Education Savings Plans 529 College Savings Plan	Self			
27.1	<b>UTVLX - My529 Institutional Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
27.2	<b>UTFIX - My529 Fdic-Insured Portfolio Investment Option</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
27.3	<b>UTVMX - My529 Mid Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
27.4	<b>UTVGX - My529 Growth Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
27.5	<b>UTVBX - My529 Total Bond Market Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
27.6	<b>UTVSX - My529 Small Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Fund, Income Type	Income
27.7	<b>My529 Stable Value UTPSVX</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.8	<b>UTVDX - My529 Developed Markets Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.9	<b>UTVEX - My529 Emerging Markets Stock Index Investment Op</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.10	<b>UTVAX - My529 Short-Term Investment-Grade Investment Opt</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.11	<b>my529 International Growth UTVWX</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28	<b>Utah System of Higher Education</b> <i>Institution: Utah System of Higher Education</i>	Education Savings 529 College Savings Plan	Self			
28.1	<b>UTVLX - My529 Institutional Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
28.2	<b>my529 FDIC Insured UTFIX</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
28.3	<b>UTVMX - My529 Mid Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
28.4	<b>UTVGX - My529 Growth Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
28.5	<b>UTVBX - My529 Total Bond Market Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
28.6	<b>UTVSX - My529 Small Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Fund, Income Type	Income
28.7	<b>My529 Stable Value UTPSVX</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.8	<b>UTVDX - My529 Developed Markets Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.9	<b>UTVEX - My529 Emerging Markets Stock Index Investment Op</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.10	<b>UTVAX - My529 Short-Term Investment-Grade Investment Opt</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.11	<b>my529 International Growth UTVWX</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29	<b>Utah System of Greater Education</b> <i>Institution: Utah System of Greater Education</i>	Education Savings 529 College Savings Plan	Self			
29.1	<b>UTVLX - My529 Institutional Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
29.2	<b>my529 FDIC Insured UTFIX</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.3	<b>UTVMX - My529 Mid Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.4	<b>UTVGX - My529 Growth Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.5	<b>UTVBX - My529 Total Bond Market Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.6	<b>UTVSX - My529 Small Cap Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Fund, Income Type	Income
29.7	<b>UTVDX - My529 Developed Markets Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.8	<b>UTVEX - My529 Emerging Markets Stock Index Investment Op</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.9	<b>UTVAX - My529 Short-Term Investment-Grade Investment Opt</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.10	<b>UTVWX - My529 International Growth Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30	<b>Utah System of Greater Education</b> <i>Institution: Utah System of Greater Education</i>	Education Savings Plans 529 College Savings Plan	Self			
30.1	<b>UTFIX - My529 Fdic-Insured Portfolio Investment Option</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
30.2	<b>529 Stable Value Fund UTPSVX</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
30.3	<b>UTVLX - My529 Institutional Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.4	<b>my529 Mid Cap Index UTVMX</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.5	<b>UTVGX - My529 Growth Index Investment Option</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.6	<b>my529 Developed Markets UTVDX</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.7	<b>my529 Emerging Markets Stock Index UTVEX</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

30.8	<b>Assets</b> <b>my529 International Growth</b> <b>UTVWX</b>	<b>Asset Type</b> Mutual Funds Mutual Fund	<b>Owner</b> Self	<b>Value</b> \$1,001 - \$15,000	<b>Income Type</b> Excepted Investment Fund, Fund,	<b>None (or less than \$201)</b>
31	<b>Mehmet Oz Schwab IRA Rollover</b>	Retirement Plans IRA	Self			
31.1	<b>AMZN - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	None (or less than \$201)
31.2	<b>Charles Schwab</b> (Huntingdon Valley, PA) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
32	<b>Bessemer Brokerage</b>	Brokerage/Managed Account	Joint			
32.1	<b>QWMBX - Old Westbury Municipal Bond Fund</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Interest,	\$1,001 - \$2,500
32.2	<b>OWCIX - Old Westbury Credit Income Fund</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
32.3	<b>GOOG - Alphabet Inc. - Class C Capital Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
32.4	<b>CHTR - Charter Communications, Inc. - Class A Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.5	<b>APTIV - Aptiv PLC Ordinary Shares</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.6	<b>AMZN - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.7	<b>HLT - Hilton Worldwide Holdings Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.8	<b>HD - Home Depot, Inc. (The) Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.9	<b>MELI - MercadoLibre, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.10	<b>NKE - Nike, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	<b>ROST - Ross Stores, Inc. - Common Stock</b>	<b>PEP - PepsiCo, Inc. - Common Stock</b>	<b>COP - ConocoPhillips Common Stock</b>	<b>PXD - Pioneer Natural Resources Company Common Stock</b>	<b>AON - Aon plc Class A Ordinary Shares (Ireland)</b>	<b>BAC - Bank of America Corporation Common Stock</b>	<b>BX - Blackstone Inc. Common Stock</b>	<b>C - Citigroup, Inc. Common Stock</b>	<b>JPM - JP Morgan Chase &amp; Co. Common Stock</b>	<b>MSCI - MSCI Inc Common Stock</b>	<b>SPGI - S&amp;P Global Inc. Common Stock</b>	<b>SCHW - Charles Schwab Corporation (The) Common Stock</b>	<b>CTLT - Catalent, Inc. Common Stock</b>	<b>DHR - Danaher Corporation Common Stock</b>	<b>IQV - IQVIA Holdings, Inc. Common Stock</b>	<b>TMO - Thermo Fisher Scientific Inc Common Stock</b>
	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset
	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock	Common Stock
	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset	Asset
	Value	Value	Value	Value	Value	Value	Value	Value	Value	Value	Value	Value	Value	Value	Value	Value
	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$100,001 - \$250,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000
	Joint Owner	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint	Joint
	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities	Corporate Securities
	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type	Asset Type
	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock	Stock
	Dividends, Income	Dividends,	Dividends,	Dividends,	Dividends,	Dividends,	Dividends,	Dividends,	Dividends,	Dividends,	Dividends,	Dividends,	None,	Dividends,	None,	Dividends,
	Type	Type	Type	Type	Type	Type	Type	Type	Type	Type	Type	Type	Type	Type	Type	Type
	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	None (or less than \$201)	None (or less than \$201)	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	None (or less than \$201)	None (or less than \$201)	\$201 - \$1,000	None (or less than \$201)	None (or less than \$201)	None (or less than \$201)	None (or less than \$201)
32.11																
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32.26																



32.27	<b><u>UNH</u> - UnitedHealth Group Incorporated Common Stock (DE)</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, None	\$201 - \$1,000
32.28	<b><u>ZTS</u> - Zoetis Inc. Class A Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.29	<b><u>ETN</u> - Eaton Corporation, PLC Ordinary Shares</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.30	<b><u>CTAS</u> - Cintas Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.31	<b><u>NOC</u> - Northrop Grumman Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.32	<b><u>UNP</u> - Union Pacific Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.33	<b><u>ADBE</u> - Adobe Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.34	<b><u>APH</u> - Amphenol Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.35	<b><u>AAPL</u> - Apple Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
32.36	<b><u>MSFT</u> - Microsoft Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
32.37	<b><u>NOW</u> - ServiceNow, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None, Dividends,	None (or less than \$201)
32.38	<b><u>TXN</u> - Texas Instruments Incorporated - Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.39	<b><u>V</u> - Visa Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.40	<b><u>APD</u> - Air Products and Chemicals, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
32.41	<b><u>VMC</u> - Vulcan Materials Company (Holding Company) Common</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.42	<b><u>AMT</u> - American Tower</b>	Corporate Securities	Joint	\$15,001 -	Dividends	\$201 - \$1,000

	STOCK TICKER	CORPORATE SECURITIES	JOINT	OWNER	VALUE	INCOME TYPE	INCOME
	Corporation (REIT) Common Stock	Stock Type	Owner	Value	Income Type	Income	
32.43	<b><u>AAE</u> - Ameren Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000	
32.44	<b><u>AWK</u> - American Water Works Company, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)	
32.45	<b><u>SE</u> - Sea Limited American Depository Shares</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)	
32.46	<b><u>OHAU</u> - LVMH MOET-HENNESSY LOUIS VUITTON EURO.30</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)	
32.47	<b><u>OIU8</u> - SAFRAN S.A. EURO.20</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)	
32.48	<b><u>ASML</u> - ASML Holding N.V. - New York Registry Shares</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)	
32.49	<b><u>OWLSX</u> - Old Westbury Large Cap Strategies Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$201 - \$1,000	
32.50	<b><u>MTCH</u> - Match Group, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)	
32.51	<b><u>DOL</u> - Dollarama Inc</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)	
32.52	<b><u>AAP</u> - Advance Auto Parts Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)	
32.53	<b><u>BURL</u> - Burlington Stores, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)	
32.54	<b><u>DMPKOS</u> - DOMINOS PIZZA ENTERPRISES LIMITED</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)	
32.55	<b><u>EXPE</u> - Expedia Group, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)	
32.56	<b><u>WH</u> - Wyndham Hotels &amp; Resorts, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)	
32.57	<b><u>USFD</u> - US Foods Holding Corp. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None, Dividends,	None (or less than \$201)	
32.58	<b><u>NDAQ</u> - Nasdaq, Inc. -</b>	Corporate Securities	Joint	\$1,001 -	Dividends,	None (or less than \$201)	

	<b>Common Stock</b> Asset	Stock Asset Type	Owner	Value	Income Type	Income
32.59	<b>STE - STERIS plc (Ireland)</b> <b>Ordinary Shares</b>	Corporate Securities Stock	Joint	\$15,000 \$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.60	<b>COO - The Cooper Companies, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.61	<b>LH - Laboratory Corporation of America Holdings Common</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.62	<b>TFX - Teleflex Incorporated Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.63	<b>CSL - Carlisle Companies Incorporated Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.64	<b>DOV - Dover Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.65	<b>EFX - Equifax, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.66	<b>HEI - Heico Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.67	<b>IAA - IAA, Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.68	<b>LECO - Lincoln Electric Holdings, Inc. - Common Shares</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.69	<b>AOS - A.O. Smith Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.70	<b>NICE - NICE Ltd - American Depository Shares each represe</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.71	<b>ANSS - ANSYS, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.72	<b>AZPN - Aspen Technology, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.73	<b>CDW - CDW Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

		Asset Type	Joint	Income Type	Income (or less than \$201)
32.74	<del>RAYC</del> - Paycom Software, Inc. Common Stock	Corporate Securities Stock	Joint		None (or less than \$201)
32.75	<del>SSNC</del> - SS&C Technologies Holdings, Inc. - Common Stock	Corporate Securities Stock	Joint	Dividends,	None (or less than \$201)
32.76	<del>SNPS</del> - Synopsys, Inc. - Common Stock	Corporate Securities Stock	Joint	None,	None (or less than \$201)
32.77	<del>WEX</del> - WEX Inc. common stock	Corporate Securities Stock	Joint	None,	None (or less than \$201)
32.78	<del>JLL</del> - Jones Lang LaSalle Incorporated Common Stock	Corporate Securities Stock	Joint	None,	None (or less than \$201)
32.79	<del>OWSMX</del> - Old Westbury Small & Mid Cap Strategies Fund	Mutual Funds Mutual Fund	Joint	Dividends,	\$201 - \$1,000
33	<del>SHCR</del> - Sharecare, Inc. - Class A Common Stock	Corporate Securities Stock	Spouse	Dividends,	None (or less than \$201)
34	<del>EJLemole</del> 2013 Trust FBO Lisa Oz	Trust General Trust	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None (or less than \$201)
34.1	<del>Asplundh</del> Shares Company: Asplundh (Willow Grove, PA) Description: Tree Trimming Company	Corporate Securities Non-Public Stock	Spouse	Dividends,	\$100,001 - \$1,000,000
34.2	<del>Northern</del> Trust (New York, NY) Type: Money Market Account,	Bank Deposit	Spouse	Interest,	None (or less than \$201)
34.3	<del>IWB</del> - iShares Russell 1000 ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	Dividends,	\$2,501 - \$5,000

34.4	<b>AMZN - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Asset Type Stock	Spouse Owner	\$100,001 - Value \$250,000	None Income Type	None (or less than \$201) Income
34.5	<b>JMST - JPMorgan Ultra-Short Municipal Income ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.6	<b>SCHD - Schwab US Dividend Equity ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.7	<b>AAPL - Apple Inc. - Common Stock</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.8	<b>MSFT - Microsoft Corporation - Common Stock</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.9	<b>SOXX - iShares PHLX SOX Semiconductor Sector Index Fund</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.10	<b>VDADX - Vanguard Dividend Appreciation Index Fund Admira</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
34.11	<b>Wisdomtree Cloud Computing Cf (WCLD)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
34.12	<b>IJH - iShares Core S&amp;P Mid-Cap ETF</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
34.13	<b>BX - Blackstone Inc. Common Stock</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
34.14	<b>iShares Russell 2000 ETF (IWM)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
34.15	<b>iShares Core S&amp;P SmallCap ETF (IJR)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
34.16	<b>BSNIX - Baird Strategic Municipal Bond Fund Institutional</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Capital Gains,	\$1,001 - \$2,500

34.17 **WLCMIKX - WLCM Focused International Growth Fd Inv Cl**  
 Mutual Funds  
 Spouse  
 \$100,001 - \$250,000  
 Dividends, Income Type  
 \$1,001 - \$2,500  
 Income

34.18 **NBIIX - Neuberger Berman International Equity Fd Inst Cl**  
 Mutual Funds  
 Spouse  
 \$100,001 - \$250,000  
 Dividends,  
 \$1,001 - \$2,500

34.19 **CCSGX - Conestoga Smid Cap Fund Institutional Class**  
 Mutual Funds  
 Spouse  
 \$50,001 - \$100,000  
 Dividends,  
 None (or less than \$201)

34.20 **GHIYX - Goldman Sachs High Yield Municipal Fd Inst Cl**  
 Mutual Funds  
 Spouse  
 \$50,001 - \$100,000  
 Capital Gains,  
 \$2,501 - \$5,000

34.21 **Charles Schwab**  
 (Huntingdon Valley, PA)  
 Type: Brokerage Sweep Account,  
 Bank Deposit  
 Spouse  
 \$15,001 - \$50,000  
 Interest,  
 None (or less than \$201)

34.22 **ODVIX - Invesco Oppenheimer Developing Markets Fund Clas**  
 Mutual Funds  
 Spouse  
 \$15,001 - \$50,000  
 Dividends,  
 None (or less than \$201)

34.23 **GSIEX - Goldman Sachs International Equity ESG Fund - IN**  
 Mutual Funds  
 Spouse  
 \$15,001 - \$50,000  
 Dividends,  
 \$201 - \$1,000

34.24 **JHEQX - Jpmorgan Hedged Equity Fund I Class**  
 Mutual Funds  
 Spouse  
 \$15,001 - \$50,000  
 Dividends,  
 None (or less than \$201)

34.25 **BCGIX - Brandywineglobal Corporate Credit Fund Class I**  
 Mutual Funds  
 Spouse  
 None (or less than \$1,001)  
 Dividends,  
 None (or less than \$201)

34.26 **VUG - Growth ETF Vanguard**  
 Mutual Funds  
 Spouse  
 \$50,001 - \$100,000  
 Dividends,  
 \$201 - \$1,000

35 **EJLemole 2012 Trust FBO Lisa J Oz**  
 Trust  
 Spouse  
 General Trust

35.1 **Asplundh**  
 Company: Asplundh (Willow Grove, PA) Description: Tree Trimming  
 Corporate Securities  
 Spouse  
 \$500,001 - \$1,000,000  
 Dividends,  
 \$15,001 - \$50,000

35.2 **Northern Trust**  
 (New York, NY)  
 Type: Savings,  
 Bank Deposit  
 Spouse  
 \$15,001 - \$50,000  
 Interest,  
 None (or less than \$201)

35.3 **Northern Trust**  
 (New York, NY)  
 Type: Savings,  
 Bank Deposit  
 Spouse  
 \$500,001 - \$1,000,000  
 Interest,  
 None (or less than \$201)

**VJVALX - Vanguard Liviana Appreciation Index Fund**  
**Admira**  
 Mutual Funds  
 Spouse  
 \$15,001 - \$50,000  
 Liviana Oz, Mehmet C  
 Income Type  
 \$201 - \$1,000  
 Income

35.5	<b>IWB - iShares Russell 1000 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
35.6	<b>AMZN - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
35.7	<b>MSFT - Microsoft Corporation - Common Stock</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.8	<b>SCHD - Schwab US Dividend Equity ETF</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.9	<b>JMST - JPMorgan Ultra-Short Municipal Income ETF</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
35.10	<b>AAPL - Apple Inc. - Common Stock</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
35.11	<b>SOXX - iShares Semiconductor ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
35.12	<b>VUG - Growth ETF Vanguard</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
35.13	<b>WCLD - WisdomTree Cloud Computing Fund</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
35.14	<b>IJH - iShares Core S&amp;P Mid-Cap</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.15	<b>BX - Blackstone Inc. Common Stock</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
35.16	<b>BSNIX - Baird Strategic Municipal Bond Fund Institutional</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Capital Gains,	\$201 - \$1,000
35.17	<b>WCMIX - WCM Focused International Growth Fund</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	None (or less than \$201)

INTERNATIONAL GROWTH FUNDS

**INTERNATIONAL GROWTH FUNDS  
Asset CI**

	Asset Type	Owner	Value	Income Type	Income
35.18	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
	<b>CCSGX - Conestoga Smid Cap Fund Institutional Class</b>				
35.19	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
	<b>NBIX - Neuberger Berman International Equity Fd Inst CI</b>				
35.20	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
	<b>GHYX - Goldman Sachs High Yield Municipal Fd Inst CI</b>				
35.21	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
	<b>GSIEX - Goldman Sachs International Equity ESG Fund - IN</b>				
35.22	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
	<b>JHEQX - Jpmorgan Hedged Equity Fund I Class</b>				
35.23	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
	<b>ODVIX - Invesco Oppenheimer Developing Markets Fund Clas</b>				
35.24	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
	<b>Charles Schwab (Huntingdon Valley, PA) Type: Brokerage Sweep Account,</b>				
35.25	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
	<b>BCGIX - Brandywineglobal Corporate Credit Fund Class I</b>				
36	Brokerage/Managed Account	Joint			
	<b>Morgan Stanley Brokerage</b>				
36.1	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$201 - \$1,000
	<b>LMGNX - Clearbridge International Growth Fund Class I</b>				
36.2	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$1,001 - \$2,500
	<b>DDVIX - Delaware Value Fd CI I</b>				
36.3	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
	<b>FKAIX - Federated Kaufmann Small Cap Fund - Is Shares</b>				
36.4	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
	<b>GLDIX - Gabelli Gold Fund Inc. Class I</b>				
36.5	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
	<b>ALFFX - Lord Abbett Alpha Strategy Fd - CI F</b>				
36.6	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
	<b>MICGX - Mirae Asset</b>				



	<b>Discovery Fds Emerging Markets Great</b>	Mutual Fund Asset Type	Owner	Value	Income Type	Income
36.7	<b><u>P</u>TOPX - Pimco Stocksplus Absolute Return Fund Class I-2</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Capital Gains,	\$5,001 - \$15,000
36.8	<b><u>E</u>MRYX - Van Eck Emerging Markets Fund CI Y</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
36.9	<b><u>I</u>NIYX - Van Eck International Investors Gold Fund CI Y</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$2,501 - \$5,000
36.10	<b><u>A</u>SPZX - The Alger Fds II Alger Spectra Fund Class Z</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	None, Capital Gains,	None (or less than \$201)
36.11	<b><u>K</u>LCIX - Federated Kaufman Large Cap Fund Is</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
37	<b><u>N</u>on Public Stock Sharecare Inc.</b> <i>Company: Sharecare Inc. (Atlanta, GA) Description: health and wellness company Filer comment: Sharecare went public on July 2, 2021 and non-public stock exchanged for income and public stock (see asset 33).</i>	Corporate Securities Non-Public Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,000,001 - \$5,000,000
38	<b><u>C</u>ONVERTIBLE NOTE</b> <i>Company: Sharecare Corp. (Atlanta, GA) Filer comment: Note has been satisfied.</i>	Accounts Receivable From a Business	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
39	<b>Fidelity Brokerage</b>	Brokerage/Managed Account	Joint			
39.1	<b><u>F</u>BNDX - Fidelity Investment Grade Bond Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
39.2	<b>Fidelity</b> (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
39.3	<b>Fidelity Government Cash Reserves (FDRXX)</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
39.4	<b>RPRX - Royalty Pharma plc - Class A Ordinary Shares14</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)

		Corporate Securities Asset Type	Joint Owner	None (or less than \$1,001)	None, Income Type	None (or less than \$201) Income
39.5	<b>ABT - Abbott Laboratories Asset Common Stock</b>	Corporate Securities	Joint Owner	None (or less than \$1,001)	None, Income Type	None (or less than \$201) Income
39.6	<b>ABBV - AbbVie Inc. Common Stock</b>	Corporate Securities	Joint	\$50,001 - \$100,000	Capital Gains,	\$2,501 - \$5,000
39.7	<b>GOLD - Barrick Gold Corporation Common Stock (BC)</b>	Corporate Securities	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
39.8	<b>BX - Blackstone Inc. Common Stock</b>	Corporate Securities	Joint	\$15,001 - \$50,000	Capital Gains,	\$1,001 - \$2,500
39.9	<b>BMJ - Bristol-Myers Squibb Company Common Stock</b>	Corporate Securities	Joint	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
39.10	<b>CJ - Cigna Corporation Common Stock</b>	Corporate Securities	Joint	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
39.11	<b>CVS - CVS Health Corporation Common Stock</b>	Corporate Securities	Joint	\$50,001 - \$100,000	Capital Gains,	\$1,001 - \$2,500
39.12	<b>EW - Edwards Lifesciences Corporation Common Stock</b>	Corporate Securities	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
39.13	<b>HCA - HCA Healthcare, Inc. Common Stock</b>	Corporate Securities	Joint	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
39.14	<b>HTGC - Hercules Capital, Inc. Common Stock</b>	Corporate Securities	Joint	\$100,001 - \$250,000	Capital Gains,	\$15,001 - \$50,000
39.15	<b>HOLX - Hologic, Inc. - Common Stock</b>	Corporate Securities	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
39.16	<b>JNJ - Johnson &amp; Johnson Common Stock</b>	Corporate Securities	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
39.17	<b>NEM - Newmont Corporation</b>	Corporate Securities	Joint	\$500,001 - \$1,000,000	Capital Gains,	\$2,501 - \$5,000
39.18	<b>RVX - Resverlogix Corp</b>	Corporate Securities	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
39.19	<b>TSLX - Sixth Street Specialty Lending, Inc. Common Stock</b>	Corporate Securities	Joint	\$15,001 - \$50,000	Capital Gains,	\$2,501 - \$5,000
39.20	<b>UNH - UnitedHealth Group Incorporated Common Stock (DE)</b>	Corporate Securities	Joint	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000

	<b>Zenith Cap Corp (zhclf)</b> <i>Asset Filer comment: OTC Stock</i>	Corporate Securities Asset Type	Joint Owner	\$1,001 - \$15,000	Capital Gains, Income Type	None (or less than \$201)
39.21	<b>Zenith Cap Corp (zhclf)</b> <i>Asset Filer comment: OTC Stock</i>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Capital Gains, Income Type	None (or less than \$201)
39.22	<b>SUSS - SuRo Capital Corp. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	\$1,001 - \$2,500
39.23	<b>PZN - Pzena Investment Management Inc Class A Common Sto</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
39.24	<b>SBSW - D/B/A Sibanye-Stillwater Limited ADS</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Capital Gains,	\$2,501 - \$5,000
39.25	<b>MCK - McKesson Corporation Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Capital Gains,	\$50,001 - \$100,000
40	<b>JP Morgan Joint Brokerage Account</b>	Brokerage/Managed Account	Joint			
40.1	<b>XLE - SPDR Select Sector Fund - Financial</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
40.2	<b>XLI - S&amp;P 500 Industrial Sector SPDR</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
40.3	<b>RSP - Invesco S&amp;P 500 Equal Weight ETF</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
40.4	<b>CMIUX - Six Circles Managed Equity Portfolio Internation</b>	Corporate Securities Stock	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$201 - \$1,000
40.5	<b>IVE - iShares MSCI EAFE</b>	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$15,001 - \$50,000
40.6	<b>IWF - Russell 1000 Growth Ishares ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
40.7	<b>JPELX - Jpmorgan Tax Aware Equity Fund Class R6</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
40.8	<b>CUSUX - Six Circles US Unconstrained Equity Fund</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$15,001 - \$50,000
40.9	<b>SPY - S&amp;P 500 SPDR</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$50,001 - \$100,000
40.10	<b>BBCA - Jpmorgan Betabuilders Canada ETF</b>	Mutual Funds Exchange Traded Fund/Nrtra	Joint	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000

	Investment Type	Investment Type	Investment Type	Investment Type	Investment Type	Investment Type	Investment Type
	Investment Type	Investment Type	Investment Type	Investment Type	Investment Type	Investment Type	Investment Type
40.11	<b>CSIFX - Six Circles International Unconstrained Equity F</b>	Mutual Funds	Joint	\$500,001 - \$1,000,000	Dividends,	\$15,001 - \$50,000	
40.12	<b>CMIUX - Six Circles Managed Equity Portfolio Internation</b>	Mutual Funds	Joint	\$500,001 - \$1,000,000	Dividends,	\$15,001 - \$50,000	
40.13	<b>EFA - iShares MSCI EAFE ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000	
40.14	<b>IEFA - EAFE Ishares Core MSCI ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000	
40.15	<b>AVIGX - Avantis Core Fixed Income Fund Institutional Cla</b>	Mutual Funds	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000	
40.16	<b>BBEU - Jpmorgan Betabuilders Europe ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000	
40.17	<b>EWJ - Japan Ishares MSCI ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000	
40.18	<b>BBAX - JPMorgan BetaBuilders Developed Asia-ex Japan ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500	
40.19	<b>IEMG - Emrg Mkts Ishares Core MSCI ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000	
40.20	<b>FSPSX - Fidelity International Index Fund</b>	Mutual Funds	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000	
40.21	<b>JP Morgan (New York, NY) Type: Brokerage Sweep Account,</b>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	None (or less than \$201)	
40.22	<b>IWV - S&amp;P 500 Growth Ishares ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000	
40.23	<b>IWD - Russell 1000 Value Ishares ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000	

FUNDS/INSTRUMENT		Owner	Dividend Type	Dividend Type
40.24	<b>Yet2 iShares MSCI EAFE</b> Mutual Funds Exchange Traded Fund/Note	Spouse	\$500,001 - \$1,000,000	Dividends, \$15,000 - \$50,000
41	<b>JP Morgan Asset Account</b> Brokerage/Managed Account	Joint		
41.1	<b>VSIEX - Jpmorgan International Equity Fund I Class</b> Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends, \$201 - \$1,000
41.2	<b>JP Morgan (New York, NY)</b> Type: Brokerage Sweep Account;	Joint	\$100,001 - \$250,000	Interest, None (or less than \$201)
42	<b>Yet2Ventures Fund II GP</b> Company: Yet2Ventures Fund II GP (Wilmington, DE) Description: GP of Pooled Fund	Spouse		
42.1	<b>Yet2Ventures Fund II, LP</b> Company: Yet2Ventures Fund II, LP (Wilmington, DE) Description: Pooled Fund	Spouse		
42.1.1	<b>Non Public Stock</b> Company: Ecrio, Inc. (Cupertino, CA) Description: communications software company	Spouse	\$1,001 - \$15,000	Capital Gains, \$5,001 - \$15,000
43	<b>Zedsen Ltd.</b> Company: Zedsen Ltd. (London, UK) Description: Healthcare Technology	Self	\$15,001 - \$50,000	None, None (or less than \$201)
44	<b>JP Morgan Chase (New York, NY)</b> Type: Checking,	Self	\$15,001 - \$50,000	Interest, None (or less than \$201)
45	<b>Investment Property</b> Description: Residential Real Estate (Cumberland Foreside, ME)	Spouse	\$500,001 - \$1,000,000	Rent/Royalties, \$50,001 - \$100,000
46	<b>Country House Limited LLC</b> Company: Country House Limited Liability	Spouse		

[Company, Limited Liability Company \(LLC\)](#)  
**Limited Liability Company (LLC)**  
**Company**  
 Owner Value Income Type Income  
 that holds Dunbrody House

46.1	<b>Dunbrody House</b> <i>Description:</i> Dunbrody House (Arthurstown, Ireland) <i>Filer comment:</i> This is a hotel & restaurant in Arthurstown, County Wexford, Ireland.	Real Estate Commercial	Spouse	\$500,001 - \$1,000,000	Capital Gains,	None (or less than \$201)
46.1.1	<b>Charles Schwab Brokerage Account (Lemole Limited Partnership)</b> <i>Filer comment:</i> Due to software issue, assets 46.1.1-46.1.3 should appear as 47.1.1-47.1.3 under Lemole Family Limited Partnership.	Brokerage/Managed Account	Joint			
46.1.1.1	<b>AMZN - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
46.1.1.2	<b>MSFT - Microsoft Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
46.1.1.3	<b>NVDA - NVIDIA Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$201 - \$1,000
46.1.1.4	<b>SOXX - iShares PHLX SOX Semiconductor Sector Index Fund</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
46.1.1.5	<b>TMO - Thermo Fisher Scientific Inc Common Stock</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$201 - \$1,000
46.1.1.6	<b>WCLD - WisdomTree Cloud Computing Fund</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
46.1.1.7	<b>IVV - S&amp;P 500 Ishares Core ETF</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
46.1.1.8	<b>VUG - Vanguard Growth ETF</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000

**UJH - ISNARES CORE S&P MID-CAP**  
 MUTUAL FUNDS  
 Exchange Traded  
 Fund/Note  
 Joint Owner  
 \$15,001 - \$50,000  
 Dividends, Income Type  
 \$201 - \$1,000  
 Income

46.1.1.10	<b>SCHX - Schwab U.S. Large-Cap ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
46.1.1.11	<b>GOOG - Alphabet Inc. - Class C Capital Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
46.1.1.12	<b>IJR - iShares Core S&amp;P Small-Cap</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
46.1.1.13	<b>VTMEX - Vanguard Tax-Managed Fund Balanced Fund</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends, Interest,	\$5,001 - \$15,000
46.1.1.14	<b>FNWFX - New World Fund Inc. - Class F-3</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
46.1.1.15	<b>Charles Schwab ) Lemole Limited Partners Brokerage Acct)</b> (San Francisco, CA) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
46.1.1.16	<b>AAPL - Apple Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
46.1.2	<b>Investment Property</b> Description: Apartment Condominium (New York, NY)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$100,001 - \$1,000,000
46.1.3	<b>Investment Property</b> Description: Apartment Condominium #2 (New York, NY)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$50,001 - \$100,000
47	<b>Lemole Investment Group</b> Company: Lemole Investment Group (Wilmington, DE) Description: Family owned investment group	Business Entity Limited Liability Company (LLC)	Joint			

Asset	Asset Type	Owner	Value	Income Type	Income
47.1	<p><b>Lemole Family Limited Partnership</b>  <i>Company:</i> Lemole Family Limited Partnership (Wilmington, Delaware) <i>Description:</i> Family Owned Investment Group <i>Filer comment:</i> see assets 46.1.1-46.1.3.</p>	Joint			
48	<p><b>Oz Works, LLC</b>  <i>Company:</i> Oz Works, LLC (Wilmington, DE) <i>Description:</i> Loan-out company for the services of filer and spouse.</p>	Joint			
48.1	<p><b>JP Morgan Chase</b>            (New York, NY)  <i>Type:</i> Checking,</p>	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
48.2	<p><b>JP Morgan Chase</b>            (New York, NY)  <i>Type:</i> Savings,</p>	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
48.3.1	<p><b>AAPL - Apple Inc. - Common Stock</b>  <i>Filer comment:</i> Due to software issue assets numbered 48.3.1-48.3.17 should appear as 49.3.1-49.3.17 under Schwab Brokerage -- Oz Property Holdings LLC.</p>	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$5,001 - \$15,000
48.3.2	<p><b>AMZN - Amazon.com, Inc. - Common Stock</b></p>	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
48.3.3	<p><b>MSFT - Microsoft Corporation - Common Stock</b></p>	Joint	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000
48.3.4	<p><b>NVDA - NVIDIA Corporation - Common Stock</b></p>	Joint	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
48.3.5	<p><b>SOXX - iShares RUSSELL 2000</b></p>	Joint	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500



Asset Type	Owner	Value	Income Type	Income
<b>Semiconductor Sector Index Fund</b>	Exchange Traded Fund/Note	\$500,000	Dividends,	\$201 - \$1,000
<b>TMO - Thermo Fisher Scientific Inc Common Stock</b>	Corporate Securities Stock	\$250,001 - \$500,000	Dividends,	\$201 - \$1,000
<b>WCLD - WisdomTree Cloud Computing Fund</b>	Mutual Funds Exchange Traded Fund/Note	\$100,001 - \$250,000	None,	None (or less than \$201)
<b>IVV - iShares Core S&amp;P 500</b>	Mutual Funds Exchange Traded Fund/Note	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
<b>VUG - Growth ETF Vanguard</b>	Mutual Funds Exchange Traded Fund/Note	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
<b>IJH - iShares Core S&amp;P Mid-Cap</b>	Mutual Funds Exchange Traded Fund/Note	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
<b>SCHX - Schwab U.S. Large-Cap ETF</b>	Mutual Funds Exchange Traded Fund/Note	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
<b>GOOG - Alphabet Inc. - Class C Capital Stock</b>	Corporate Securities Stock	\$15,001 - \$50,000	None,	None (or less than \$201)
<b>IJR - iShares Core S&amp;P Small-Cap ETF</b>	Mutual Funds Exchange Traded Fund/Note	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
<b>VTMFX - Vanguard Tax-Managed Fund Balanced Fund</b>	Mutual Funds Exchange Traded Fund/Note	\$500,001 - \$1,000,000	Dividends, Capital Gains,	\$5,001 - \$15,000
<b>WCMIX - WCM Focused International Growth Fund Insti CI</b>	Mutual Funds Exchange Traded Fund/Note	\$50,001 - \$100,000	None,	None (or less than \$201)
<b>FNWFX - New World Fund Inc. - Class F-3</b>	Mutual Funds Exchange Traded Fund/Note	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
<b>Charles Schwab OPH Brokerage</b> (San Francisco, CA) Type: Brokerage Sweep Account,	Bank Deposit	\$250,001 - \$500,000	Interest,	None (or less than \$201)

Asset	Asset Type	Owner	Value	Income Type	Income
48.4.1	<b><u>FXAIX</u> - Fidelity 500 Index Fund</b> <i>Filer comment: Due to software issue, assets numbered 48.4.1-48.4.13 should appear as 49.4.1-49.4.13 under JP Morgan Investment Brokerage Account.</i>	Joint	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
48.4.2	<b><u>XLF</u> - SPDR Select Sector Fund - Financial</b>	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
48.4.3	<b><u>XLI</u> - SPDR Select Sector Fund - Industrial</b>	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
48.4.4	<b><u>RSP</u> - Invesco S&amp;P 500 Equal Weight ETF</b>	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
48.4.5	<b><u>CMIUX</u> - Six Circles Managed Equity Portfolio Internation</b>	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
48.4.6	<b><u>VOO</u> - Vanguard S&amp;P 500 ETF</b>	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
48.4.7	<b><u>CMEUX</u> - Six Circles Managed Equity Portfolio U.S. Uncons</b>	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
48.4.8	<b><u>BBCA</u> - Jpmorgan Betabuilders Canada ETF</b>	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
48.4.9	<b><u>FSPSX</u> - Fidelity International Index Fund</b>	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
48.4.10	<b><u>BBEU</u> - Jpmorgan Betabuilders Europe ETF</b>	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	<b>Asset</b>	<b>Mutual Funds</b>	<b>Joint</b>	<b>Value</b>	<b>Dividends</b>	<b>Income</b>
	<b>BBJP - Jpmorgan Betabuilders</b>	<b>Asset Type</b>	<b>Owner</b>	<b>\$15,001 -</b>	<b>Type</b>	<b>\$201 - \$1,000</b>
	<b>Japan ETF</b>	<b>Exchange Traded</b>		<b>\$50,000</b>		
		<b>Fund/Note</b>				
48.4.11	<b>BBJP - Jpmorgan Betabuilders</b> <b>Japan ETF</b>	Mutual Funds	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
48.4.12	<b>BBAX - JPMorgan</b> <b>BetaBuilders Developed Asia</b> <b>Pacific-ex Ja</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
48.4.13	<b>JP Morgan Brokerage</b> (New York, New York) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
48.5.1	<b>APG - APi Group Corporation</b> <b>Common Stock</b> <i>Filer comment: Due to software issue, assets numbered 48.5.1-48.5.5 should appear as 49.5.1-49.5.5 under JP Morgan OPH Asset Account.</i>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
48.5.2	<b>RADI - Radius Global</b> <b>Infrastructure, Inc. - Common</b> <b>Stock</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
48.5.3	<b>JPM - JP Morgan Chase &amp; Co.</b> <b>Common Stock</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
48.5.4	<b>MS - Morgan Stanley</b> <b>Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
48.5.5	<b>JP Morgan (OPH Brokerage</b> <b>9007)</b> (New York, New York) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
48.6.4	<b>Oz Media Schwab Brokerage</b> <b>Account</b> <i>Filer comment: Due to software issue assets 48.6.4-48.6.7 should appear as 49.6.4-49.6.7 under Oz Media LLC.</i>	Brokerage/Managed Account	Joint			
48.6.4.1	<b>MSFT - Microsoft Corporation</b> <b>- Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$5,001 - \$15,000
48.6.4.2	<b>AAPI - Annap Inc. - Common</b>	Corporate Securities	Joint	\$50,001 -	Dividends.	\$2,501 - \$5,000

	<b>Stock</b>	<b>Asset Type</b>	<b>Owner</b>	<b>Stock Value</b>	<b>Income Type</b>	<b>Income</b>
48.6.4.3	<b>AMZN - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
48.6.4.4	<b>UNH - UnitedHealth Group Incorporated Common Stock (DE)</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
48.6.4.5	<b>SOXX - iShares Semiconductor ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
48.6.4.6	<b>VTI - Vanguard Total Stock Market ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
48.6.4.7	<b>TMO - Thermo Fisher Scientific Inc Common Stock</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
48.6.4.8	<b>CP - Canadian Pacific Railway Ltd</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
48.6.4.9	<b>VUG - Vanguard Growth ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
48.6.4.10	<b>CRM - Salesforce.com Inc Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
48.6.4.11	<b>NVDA - NVIDIA Corporation - Common Stock</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
48.6.4.12	<b>VDIGX - Vanguard Specialized Portfolios Vanguard Divide</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Capital Gains,	\$1,001 - \$2,500
48.6.4.13	<b>WCMIX - WCM Focused International Growth Fund Insti CI</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
48.6.4.14	<b>Charles Schwab (Oz Media Brokerage)</b> (San Francisco, CA) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
48.6.5	<b>JP Morgan Oz Media</b> (New York, New York)	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)

Type: Checking, Asset	Asset Type	Owner	Value	Income Type	Income
48.6.6	<b>JP Morgan Asset Oz Media</b> Asset Type: Brokerage/Managed Account	Joint			
48.6.6.1	<b>JP Morgan</b> (New York, NY) Type: Money Market Account,	Joint	\$5,000,001 - \$25,000,000	Interest,	\$201 - \$1,000
48.6.7	<b>Web Domain and Other Trademarks</b> Description: Doctoroz.com and Other Trademarks Filer comment: web domain doctoroz.com and other trademarks associated with The Doctor Oz Show	Joint	Unascertainable	None,	None (or less than \$201)
49	<b>Oz Property Holdings, LLC</b> Company: Oz Property Holdings, LLC (Delaware, DE) Description: Holding Company of assorted investments Filer comment: Filer and spouse ownership stake is 77.5 percent. Assets of entity reported in full.	Joint			
49.1	<b>JP Morgan Chase</b> (New York, NY) Type: Checking,	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
49.2	<b>JP Morgan Chase</b> (New York, NY) Type: Savings,	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
49.3	<b>Schwab Brokerage -- Oz Property Holdings LLC</b> Filer comment: Filer and spouse ownership stake is 77.5 percent. Assets of entity reported in full. See assets 48.3.1-48.3.17.	Joint			
49.4	<b>JP Morgan Investment</b> Filer comment: See assets 48.4.1-48.4.13.	Joint			

49.5	<b>JP Morgan OPH Asset Account</b> Asset Account <i>Filer comment:</i> See assets 48.5.1-48.5.5.	Brokerage/Managed Account	Joint	Value	Income Type	Income
49.6	<b>Oz Media, LLC</b> <i>Company:</i> Oz Media, LLC (Wilmington, Delaware) <i>Description:</i> Media Company <i>Filer comment:</i> See assets 48.6.4-48.6.7.	Business Entity Limited Liability Company (LLC)	Joint			
49.7	<b>22 Enterprise Inc</b> <i>Company:</i> 22 Enterprise Inc (Wilmington, DE) <i>Description:</i> Digital Media Company	Corporate Securities Non-Public Stock	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
49.8	<b>Aibolit Technology Convertible Notes</b> <i>Company:</i> Aibolit Technology (Wilmington, DE)	Accounts Receivable From a Business	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
49.9	<b>Five Star Travel Corporation</b> <i>Company:</i> Five Star Travel Corporation (Atlanta, GA) <i>Description:</i> DBA Forbes Travel Guide	Corporate Securities Non-Public Stock	Joint	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
49.10	<b>Hudson Capital Landings, LLC</b> <i>Company:</i> Hudson Capital Landings, LLC (New York, NY) <i>Description:</i> Real Estate Development <i>Filer comment:</i> property was sold on 12/7/21	Business Entity Limited Liability Company (LLC)	Joint	None (or less than \$1,001)	Other, (Partnership Distribution)	Other \$1,217,756.00
49.11	<b>Hudson Capital Dunwoody, LLC</b> <i>Company:</i> Hudson Capital Dunwoody, LLC (New York, New York) <i>Description:</i> Real Estate Development <i>Filer comment:</i> Property was sold on 11/17/21	Business Entity Limited Liability Company (LLC)	Joint	None (or less than \$1,001)	Other, (Partnership Distribution)	Other \$758,216.00
49.12	<b>JungoTV, LLC</b> <i>Company:</i> JungoTV, LLC	Business Entity Limited Liability	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)

	(Wilmington, DE) Company	Company (LLC) Asset Type	Owner	Value	Income Type	Income
49.13	<b>Bobcat Endoscopy</b> Company: Bobcat Endoscopy (Wilmington, DE) Description: Medical Device Company Filer comment: This company was sold in 2020 and has been woundup.	Business Entity Limited Liability Company (LLC)	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
49.14	<b>Mixlabs Inc.</b> Company: Mixlabs Inc. (New York, NY) Description: Pet Pharmacy Company	Corporate Securities Non-Public Stock	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
49.15	<b>Our Body Electric, LLC,</b> Company: Our Body Electric, LLC, (Wilmington, DE) Description: Online Fitness Company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
49.16	<b>Pantheryx Holdings LLC</b> Company: Pantheryx Holdings LLC (Wilmington, Delaware) Description: Nutrition and biotechnology company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
49.17	<b>LEE EQUITY STRATEGIC PARTNERS FUND III, L.P</b> Description: Pooled Investment Fund (Wilmington, DE) Filer comment: Alternative Disclosure requested as Fund states that underlying assets are confidential. Filer spouse have no authority to control investment.	Investment Fund Private Equity Fund	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
49.18	<b>Jana Strategic Investments Benchmark Fund</b> Description: Fund (Cayman Islands, Cayman Island)	Investment Fund Private Equity Fund	Joint	\$500,001 - \$1,000,000	Other, (Partnership Income)	Other \$11,111.00

49.19 **SHCR - Sharecare, Inc. - Class A - Common Stock** Corporate Securities Type: Joint Owner None, Income Type: None (or less than \$201) Income: None (or less than \$201)

49.20 **Sharecare Convertible Note**  
Company: Sharecare (Delaware, DE) Filer comment: Note has been satisfied.  
Accounts Receivable From a Business Joint None, Income Type: None, Income: \$5,001 - \$15,000

49.21 **YET2VENTURES NANOPACK INVESTORS, LLC**  
Company: YET2VENTURES NANOPACK INVESTORS, LLC (Wilmington, DE) Description: Investment vehicle to invest in Nanopack  
Business Entity Limited Liability Company (LLC) Joint None, Income Type: None (or less than \$1,001) Income: \$29,000,000

49.21.1 **Non Public Stock**  
Company: NanoPack, Inc. (Wayne, PA) Description: Materials Science Company  
Corporate Securities Non-Public Stock Joint None, Income Type: None (or less than \$201) Income: \$50,001 - \$100,000

49.22 **Norwich Partners XI LLC**  
Company: Norwich Partners XI LLC (Sanibel, Florida) Description: Real Estate Development  
Business Entity Limited Liability Company (LLC) Joint None, Income Type: None (or less than \$201) Income: None

49.22.1 **20805 Biscayne Blvd Aventura FL Commercial Property**  
Description: 20805 Biscayne Blvd (Aventura, FL)  
Real Estate Commercial Joint None, Income Type: None (or less than \$201) Income: \$15,001 - \$50,000

49.23 **Inception Fertility Holdings**  
Company: Inception Fertility Holdings (Wilmington, Delaware)  
Accounts Receivable From a Business Joint None, Income Type: None (or less than \$201) Income: \$1,001 - \$15,000

49.24 **Prelude Holding Company, LLC**  
Company: Prelude Holding Company, LLC (Wilmington, Delaware) Description: Fertility clinic company  
Business Entity Limited Liability Company (LLC) Joint None, Income Type: None (or less than \$201) Income: \$500,001 - \$1,000,000



Asset	Asset Type	Owner	Value	Income Type	Income
49.25	<b>Prelude Fertility Holdings, LLC</b> Company: Prelude Fertility Holdings, LLC (Wilmington, Delaware) Description: Fertility Services Company	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
49.26	<b>Art.sy, Inc.</b> Company: Art.sy, Inc. (New York, New York) Description: Online Art Marketplace	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
49.27	<b>Connect America, LLC Units</b> Company: Connect America, LLC (Bala Cynwyd, PA) Description: Emergency Response Company	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
49.28	<b>Non-Public Stock Sharecare Inc.</b> Company: Sharecare Inc. (Atlanta, GA) Description: health and wellness company Filer comment: Sharecare went public on July 2, 2021 and nonpublic shares exchanged for income and public shares (see asset 49.19)	Joint	None (or less than \$1,001)	Dividends,	\$100,001 - \$1,000,000
50	<b>Schwab - Oz Works LLC 401k FBO Mehmet Oz</b>	Self			
50.1	<b>Schwab Bank Sweep</b> (New York, NY) Type: Brokerage Sweep Account;	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
50.2	<b>CCSGX - Conestoga Smid Cap Fund Institutional Class</b>	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
50.3	<b>MFAIX - Morgan Stanley Insti Fd International Advantage</b>	Self	\$100,001 - \$250,000	None,	None (or less than \$201)

50.4	<u><b>PABWFX</b></u> - <b>New World Fund Inc. - Class F-3</b>	Mutual Funds Exchange Traded Fund/Note	Schwab	\$400,001 - \$250,000	None	None (or less than \$201)
50.5	<u><b>WCMIX</b></u> - <b>WCM Focused International Growth Fund Insti CI</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None	None (or less than \$201)
50.6	<u><b>PRILX</b></u> - <b>Parnassus Core Equity Fund-Institutional Shares</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
50.7	<u><b>POAGX</b></u> - <b>Primecap Odyssey Aggressive Growth Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
50.8	<u><b>NFFFX</b></u> - <b>New World Fund Inc. Class F-2 Shs</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
50.9	<u><b>SGIIX</b></u> - <b>First Eagle Global Fund Class I</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
50.10	<u><b>TBCIX</b></u> - <b>T. Rowe Price Blue Chip Growth Fund - I Class</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
50.11	<u><b>VDIGX</b></u> - <b>Vanguard Specialized Portfolios Vanguard Divide</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
50.12	<u><b>VB</b></u> - <b>Smallcap ETF Vanguard</b>	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None	None (or less than \$201)
50.13	<u><b>IVV</b></u> - <b>iShares Core S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
50.14	<u><b>AAPL</b></u> - <b>Apple Inc. - Common Stock</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
50.15	<u><b>MSFT</b></u> - <b>Microsoft Corporation - Common Stock</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
50.16	<u><b>AMZN</b></u> - <b>Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
50.17	<u><b>SCHD</b></u> - <b>Schwab US Dividend</b>	Mutual Funds	Self	\$15,001 - \$50,000	None	None (or less than \$201)

	<b>Equity ETF Asset</b>	<b>Exchange Traded Asset/Fund/Note</b>	<b>Owner</b>	<b>\$50,000 Value</b>	<b>Income Type</b>	<b>Income</b>
50.18	<b><u>IJH</u> - iShares Core S&amp;P Mid-Cap</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
50.19	<b><u>IJR</u> - iShares Core S&amp;P Small-Cap</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
51	<b>Oz Works LLC 401k FBO Lisa Oz</b>	Brokerage/Managed Account	Spouse			
51.1	<b>Charles Schwab</b> (San Francisco, CA) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
51.2	<b><u>PRBLX</u> - Parnassus Core Equity Fund-Investor Shares</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
51.3	<b><u>POAGX</u> - Primecap Odyssey Aggressive Growth Fund</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
51.4	<b><u>NFFFX</u> - New World Fund Inc. Class F-2 Shs</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
51.5	<b><u>VDIGX</u> - Vanguard Specialized Portfolios Vanguard Divide</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
51.6	<b><u>NFFFX</u> - New World Fund Inc. Class F-2 Shs</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
51.7	<b>Microsoft Corp MSFT</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
51.8	<b><u>AMZN</u> - Amazon.com, Inc. - Common Stock</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
51.9	<b><u>IJH</u> - iShares Core S&amp;P Mid-Cap</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
51.10	<b><u>IVV</u> - iShares Core S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Fund/Note	Owner	Value	Income Type	Income
51.11	<b>SCHD - Schwab US Dividend Equity ETF</b>	Asset Type Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
51.12	<b>IJR - iShares Core S&amp;P Small-Cap</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
52	<b>NGN BioMed Opportunity II, L.P.</b> <i>Company:</i> NGN BioMed Opportunity II, L.P. (Wilmington, Delaware) <i>Description:</i> Pooled Investment Fund dedicated to healthcare investing	Business Entity Limited Partnership (LP)	Self	\$250,001 - \$500,000	None, Excepted Investment Fund,	None (or less than \$201)
53	<b>NGN Biomed Opportunity I LP</b> <i>Description:</i> Pooled Investment Fund dedicated to healthcare investing (New York, NY)	Investment Fund Private Equity Fund	Self	\$1,001 - \$15,000	Dividends, Excepted Investment Fund,	None (or less than \$201)
54	<b>Norwich Partners Altaria Fund IX LLC</b> <i>Company:</i> Norwich Partners Altaria Fund IX LLC (Sanibel, FL) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Self			
54.1	<b>25 Foothill St Lebanon, NH</b> <i>Description:</i> Commercial Real Estate (Lebanon, NH)	Real Estate Commercial	Self	\$100,001 - \$250,000	Other, (real estate income)	Other \$8,000.00
55	<b>Radius Venture Partners III, LP</b> <i>Description:</i> Venture Capital Fund (New York, NY) <i>Filer comment:</i> Alternative Disclosure requested as Fund states that underlying assets are confidential. Filer spouse have no authority to control investment.	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Other, (Partnership income)	Other -\$3,494.00
56	<b>SleepScore Labs</b> <i>Company:</i> Consumer Sleep Solutions LLC d/b/a SleepScore	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)

Labs (Carlsbad, CA)  
 Description: Sleep improvement company

	Asset Type	Owner	Value	Income Type	Income
57	<b>Norwich Partners Fund XIV, LLC</b> Company: Norwich Partners Fund XIV, LLC (Sanibel, FL) Description: Real Estate Development	Joint			
57.1	<b>158 Fore St. Portland, ME</b> Description: Commercial Real Estate (Portland, ME)	Joint	\$1,001 - \$15,000	Other, (Real Estate Income)	Other \$427,119.00
58	<b>Norwich Partners Fund XVII, LLC</b> Company: Norwich Partners XVII, LLC (Sanibel, FL) Description: Real Estate Development	Joint			
58.1	<b>383 Commercial St. Portland ME</b> Description: Commercial Real Estate (Portland, ME)	Joint	\$1,001 - \$15,000	Other, (Real Estate income)	Other \$113,955.00
59	<b>Norwich Partners Fund XIII</b> Company: Norwich Partners Fund XIII (Sanibel, FL) Description: Real Estate Development	Joint			
59.1	<b>2910 NE 207th Street, Aventura, FL</b> Description: 2910 NE 207th Street, Aventura Commercial Real Estate (Sanibel, FL)	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
60	<b>Norwich Partners Fund XIX, LLC</b> Company: Norwich Partners Fund XIX, LLC (Sanibel, FL) Description: Real Estate Development	Joint			

Asset	Asset Type	Owner	Value	Income Type	Income
60.1 <b>443 East Shore Drive, Clearwater Beach FL</b> <i>Description:</i> 443 East Shore Drive, Clearwater Beach, Commercial Real Estate (Sanibel, FL)	Real Estate Commercial	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
61 <b>Norwich Partners Fund XVIII, LLC</b> <i>Company:</i> Norwich Partners Fund XVIII, LLC (Sanibel, FL) <i>Description:</i> Norwich Partners Fund XVIII, LLC	Business Entity Limited Liability Company (LLC)	Joint			
61.1 <b>393 Coronado Dr, Clearwater Beach, FL</b> <i>Description:</i> 393 Coronado Dr, Clearwater Beach, Commercial Real Estate (Sanibel, FL)	Real Estate Commercial	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
62 <b>Norwich Partners Fund XV LLC</b> <i>Company:</i> Norwich Partners Fund XV LLC (Sanibel, Florida) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Joint			
62.1 <b>Tremont Street Boston</b> <i>Description:</i> Tremont Street Boston (Boston, MA)	Real Estate Commercial	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
63 <b>Sal Lem Limited Partnership</b> <i>Company:</i> Sal Lem Limited Partnership (Huntington Valley, PA) <i>Description:</i> Holds commercial real estate	Business Entity Limited Partnership (LP)	Spouse			
63.1 <b>Commercial Office Building Huntington Valley PA</b> <i>Description:</i> Commercial Office Building Huntington Valley PA (Huntingdon Valley, PA)	Real Estate Commercial	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

Asset	Asset Type	Owner	Value	Income Type	Income
<p>64 <b>State of the Art Medical Products, INC</b>  <i>Company:</i> State of the Art Medical Products, INC (Cedar Grove, New Jersey) <i>Description:</i> High-Tech Medical Distributor</p>	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

**Part 4a. Periodic Transaction Report Summary**

**Part 4b. Transactions**

**Not required**

**Part 5. Gifts**

**Not required**

**Part 6. Travel**

**Not required**

**Part 7. Liabilities**

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Joint	Mortgage	None	0.58% (8 years and 11 months)	\$1,000,001 - \$5,000,000	Dr. Gerald Lemole and Emily Jane Lemole Huntingdon Valley, PA	n/a
2	2020	Joint	Promissory Note	-	0.58% (8 years 11 months)	\$100,001 - \$250,000	Dr. Gerald Lemole and Emily Jane Lemole Huntingdon Valley, PA	n/a
3	2020	Spouse	Other (Loan)	-	2.6% (5 years)	\$5,000,001 - \$25,000,000	Oz Family 2014 Insurance Trust Wilmington, DE	n/a
4	2014	Spouse	Other (Renews Annually)	-	1.973% (Annual)	Over \$1,000,000 (asset held independently by spouse or dependent child)	Oz Family 2014 Insurance Trust Wilmington, DE	Loan is obligation of Oz Family 2014 Insurance Trust for which filer's spouse is a beneficiary.
5	2020	Joint	Other (Margin Loan)	-	1.25% (on demand)	\$1,000,001 - \$5,000,000	Charles Schwab San Francisco, CA	Filer accesses loan against value of securities in filer's joint brokerage account intermittently.

## Part 8. Positions



Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jul 2009 to Jan 2022	Employee	Zoco Productions, LLC Wilmington, DE	Company	n/a
2	Apr 2007 to present	Other (Member)	The Screen Actors Guild - American Federation of Television and Radio Artists (SAG-AFTRA) Los Angeles, CA	Other (Union)	n/a
3	Apr 2016 to present	Director	American Association for Thoracic Surgery Foundation Beverly, MA	Nonprofit Organization	n/a
4	Jun 1993 to present	Other (Attending Physician)	NY Prebyterian Columbia Hospital New York, NY	Other (Hospital)	n/a
5	Jul 2009 to Jan 2022	Other (Host)	The Dr. Oz Show New York, NY	Company	n/a
6	Jul 2009 to Jan 2022	Other (Supervising Producer)	The Dr. Oz Show New York, NY	Company	n/a
7	Jan 1995 to present	Employee	Oz Works, LLC Cliffside Park, NJ	Company	Filer is also a shareholder.
8	Mar 2012 to present	Other (Member)	Oz Property Holdings, LLC Wilmington, DE	Company	n/a
9	Aug 2012 to present	Other (Owner)	Oz Media, LLC Wilmington, DE	Company	Oz Media LLC is the sole member of Oz Property Holdings LLC. Filer and spouse are the majority owners of Oz Property Holdings LLC.
10	May 2009 to present	Other (Owner)	Zoco Productions, LLC Wilmington, DE	Company	Zoco is the sole member of Oz Media LLC, which is the sole member of Oz Property Holdings LLC, of which filer and spouse are majority owners.
11	Aug	Director	HealthCorps	Nonprofit	Also Founder and Chair Emeritus.

#	2004 to Dec 2021 Dates	Position Held	Entity	New York, NY	Organization	Entity Type	Comments
12	Jun 2016 to Dec 2021	Other (Board Member)	Jungo TV, LLC Los Angeles, CA			Company	n/a
13	Jun 2016 to Dec 2021	Other (Board Member)	Consumer Sleep Solutions SleepScore Labs Calsbad, CA			Company	n/a
14	Nov 2009 to Jul 2021	Director	Sharecare, Inc. Atlanta, GA			Corporation	n/a
15	Apr 2017 to present	Director	Ellis Island Honors Society Franklin Square, NY			Nonprofit Organization	n/a
16	Mar 2011 to present	Trustee	Mustafa Oz's Grandchildren's Trust Cliffside Park, NJ			Trust	n/a
17	Oct 2016 to Aug 2021	Other (Advisor)	iBeat, Inc. San Francisco, CA			Corporation	n/a
18	Sep 2017 to Dec 2021	Director	Pantheryx, Inc. Boulder, CO			Corporation	n/a
19	Feb 2022 to present	Consultant	Pantheryx, Inc. Bolder, CO			Corporation	n/a
20	Mar 2017 to present	Director	Palm Beach Civic Association, Inc. Palm Beach, FL			Nonprofit Organization	n/a
21	Jan 2009 to present	Other (Manager)	Oz Parents Apartments LLC New York, NY			Company	Filer has no ownership interest in the assets of the LLC.
22	Mar 2016 to present	Director	Prelude Holding Company Wilmington, DE			Company	n/a

23 #	May 2019 to present	Other (Member)	Zoco Holdings GP, LLC Wilmington, Delaware	Company Entity Type	n/a	Comments
24	Jun 2017 to present	Other (Advisor)	Kairos New York, NY	Company	n/a	

### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Feb 2021	Pantheryx, Inc. / Oz Property Holdings, LLC Boulder, CO	Other (Consultant Agreement)	Three year consulting agreement. In return for filer's consulting services re: PanTheryx's colostrum products, Oz Property Holdings will be awarded 770,8933 shares of restricted stock in PanTheryx.	n/a
2	Aug 2000	Columbia University / Mehmet Oz New York, New York	Royalty Agreement	Filer and co-inventors assigned certain inventions relating to method and apparatus for Mitral Heart Valve Repair to Columbia University. Filer receives a share of license fees, royalties and income from the invention on an ongoing basis.	n/a
3	Dec 2020	Hearst Holdings, King Features Syndicate Division New York, NY	Other (Syndication Agreement)	A three-year agreement with Zoco Productions LLC under which filer agrees to write columns regarding healthcare topics. This agreement was terminated on January 11, 2022.	n/a
4	Apr 2007	The Screen Actors Guild - American	Other (Union Defined	Continuing participation in an employee benefit plan.	n/a

#	Date	Federation of Television and Radio Artists Chicago, IL	Type	Status and Terms	Comments
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5	Aug 2020	Turkish Airlines, Inc. / Oz Media LLC Istanbul, Turkey	Other (Endorsement Agreement)	Endorsement agreement with Oz Media LLC under which Filer provides consulting services, certain media and in-flight film appearances.	n/a
6	May 2021	USANA / Oz Media, LLC Salt Lake City, Utah	Other (Promotional Agreement)	Act as a Brand Ambassador products of USANA exclusively in the category of healthcare supplements and skincare products. Agreement includes in person visits to the various territories USANA operates within.	n/a
7	Apr 2007	GEP Talent Burbank, CA	Other (Payroll Service)	Payroll service for multiple entertainment business vendors (residuals/fees).	n/a
8	May 2020	CVB, Inc d/b/a Malouf Logan, UT	Other (License Agreement)	Multi-year License agreement with CVB, Inc d/b/a Malouf to use certain licensed trademarks for manufacture, sale, promotion and distribution of adjustable frames, mattresses and bedding; projected to generate up to \$3.8M in sales royalties when the agreement terminates in 2025.	n/a

**Part 10. Compensation**

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **Yes**

#	Source	Duties	Comments
1	Zoco Productions, LLC	Host and Supervising Producer for The Dr. Oz Show, which was produced by Zoco Productions, LLC.	n/a

#	Source	Duties	Comments
2	Oz Works, LLC Cliffside Park, NJ	Consulting and appearances on behalf of Loan-out Company.	n/a
3	USANA Health Sciences, Inc. Salt Lake City, Utah	Filer made live and virtual speeches and presentations at USANA events and appeared at photo opportunities and other USANA events. Compensation paid to Oz Media, LLC.	n/a
4	USANA Health Sciences, Inc. and BabyCare LTD Salt Lake City, UT	Act as Brand Ambassador to promote USANA and BabyCare brands through certain public appearances, creation of promotional content, press interviews and meetings with other Brand Ambassadors and agents of the Companies. Compensation paid by BabyCare to Oz Media, LLC.	n/a
5	Turkish Airlines Istanbul, Turkey	Endorsement agreement with Oz Media LLC under which Filer provides consulting services, certain media and in-flight film appearances. Payment received from Lion Communications on behalf of Turkish Airlines.	n/a
6	CVB, Inc. d/b/a Malouf Logan, UT	Permitted use of licensed trademarks for manufacture, sale, promotion and distribution of adjustable frames, mattresses and bedding.	n/a
7	Columbia University New York, NY	Royalties derived from Patent License Agreement between Columbia University and Abbot for MitraClip.	n/a
8	Oz Media, LLC Wilmington, DE	Owner through Oz Property Holdings. Consulting and appearances.	n/a

## Attachments & Comments

*No attachments added.*

## Comments

Filer has asserted an ownership interest in certain assets of the estate of his father, Mustafa Oz, deceased February 2019, that are the subject of ongoing legal proceedings in Turkey. Details of any assets to be disclosed upon distribution.